

2874



Attorney's Docket No. 42390.P10601

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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#9
11-10-03

In Re Patent Application of:)
)
Dmitri E. Nikonov et al.)
)
Application No.: 09/982,227)
)
Filed: October 17, 2001)
)
For: AN INTEGRATED OPTICAL CIRCUIT)
HAVING AN INTEGRATED ARRAYED)
WAVEGUIDE GRATING (AWG) AND)
OPTICAL AMPLIFIER(S))

Examiner: Wong, Eric K.
Art Unit: 2874

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STATEMENT UNDER 37 C.F.R. §1.97(e)

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I hereby certify (as indicated by an "X" to the left of the appropriate paragraph):

 X That each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
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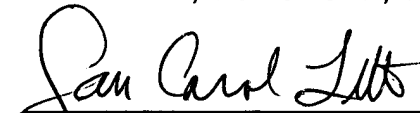
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That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7/23/03



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